

Docket No. 42390.P4072 Express Mail No. EM502297228US

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventor(s): Paul C. Greer, et al.

For: USER DEMOGRAPHIC PROFILE DRIVEN ADVERTISING TARGETING

Enclo	sed are:						
X	3 sheets of Formal Drawing(s) including 3 figures.						
\boxtimes	An Assignment of the invention to: INTEL CORPORATION						
X	A Declaration and Power of Attorney.						
	A Verified Statement to establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27						
The F	iling Fee has been cal	culated as s	hown belov	X/*			
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	(Col. 1) (Col. 2) SMALL ENTITY SMALL ENTITY						
	For:	No. Filed	No. Extra	RATE	FEE	RATE	FEE
	Basic Fee:	- !	-	-	\$385.00	-	\$770.00
	Total Claims:	15	0	\$11.00	\$0.00	\$22.00	\$0.00
	Indep. Claims:	4	1	\$40.00	\$0.00	\$80.00	\$80.00
	☐ Multiple Dep. Claim(s) Presented		\$130.00	\$0.00	\$260.00	\$0.00	
	*If the difference in (Col. 1) is less than zero, enter "0" in (Col. 2) Total: \$0.00 Total: \$850.00				\$850.00		
X	A check in the amount of \$850.00 to cover the filing fee is enclosed						

- check in the amount of \$850.00 to cover the filing fee is enclosed.
- A check for \$40.00 covering Recordation of Assignment is enclosed, along with the Assignment Cover Sheet.
- ☑ The Commissioner is hereby authorized to charge payment of the following fees associated with the this communication or credit any overpayment to our Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.
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 - Any extension or petition fees under 37 CFR §1.17.
 - Any filing fees under 37 CFR §1.16 for presentation of extra claims.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: June 25, 1997

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UNITED STATES PATENT APPLICATION

FOR

USER DEMOGRAPHIC PROFILE DRIVEN ADVERTISING TARGETING

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VIA EXPRESS MAIL EM502297228US

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1. FIELD OF THE INVENTION

The present invention relates generally to customizing advertisements transmitted over a computer network. More particularly, the present invention relates to transmitting advertising banners and an agent over the Internet to a target computer and using information collected by the agent running on the target computer to generating new advertising banners.

2. DESCRIPTION OF RELATED ART

In recent years, advertising and marketing over computer systems connected to the Internet have become increasingly popular. Many well-known Internet service providers display advertisements along with entertainment, financial and business information available from these services. As more advertisers compete for limited ad space, the cost of placing an ad on these services has become more expensive. Furthermore, the proliferation of advertisements makes it difficult to keep consumers interested in a particular vendor's advertisement. Keeping a consumer's attention such that the consumer remembers the advertisement poses a constant challenge for marketing personnel. Furthermore, the limited bandwidth of transmissions media and the expense of placing an advertisement in highly

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visible areas of the Internet makes it desirable to target specific audiences rather than transmit numerous broadcasts to all consumers.

It is thus desirable to customize and target advertisements to particular audiences more likely to be interested in the particular advertisement or to which the advertising particularly pertains. Thus, the following invention discloses a method and apparatus for obtaining consumer information and customizing or targeting advertising content to correspond to the received information.

SUMMARY OF THE INVENTION

The invention relates to a method and apparatus for targeting advertising content. A content provider generates ad banners.

The content provider transmits an agent to a target computer. The agent obtains user information and transmits the user information to the content provider. A program running on the content provider organizes the user information and updates a user specific database.

BRIEF DESCRIPTION OF THE DRAWINGS

The advantages of the present invention will become more readily apparent to those ordinarily skilled in the art after reviewing the following detailed description and accompanying drawings, wherein:

Figure 1 is an overall diagram of an apparatus for performing targeted advertising.

Figure 2 illustrates an example of a typical rule page.

Figure 3 is a flowchart illustrating one proposed method of implementing a targeted advertising system.

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DETAILED DESCRIPTION OF THE INVENTION

A method and apparatus for targeted advertising is described. Figure 1 illustrates a block diagram of an apparatus for customizing advertising content to a particular user. In figure 1, a content provider 104 transfers data along a communications medium 108 to a plurality of end users or target computers 112, 116, 120. The communications medium 108 may include a variety of communications methods including telephone lines, Integrated Services Digital Network ("ISDN") lines, a predetermined frequency channel and other methods of communicating information. preferred embodiment, the source of the data may be an Internet site transmitting data in an Internet format. However, it is contemplated that data may be provided by an Internet service provider or content provider 104 such as American OnLine, Prodigy and Compuserve. A server 124 and router 128 control the flow of information along the communications medium 108.

Advertising software 130, within the content provider 104 establishes a set of databases 132 containing advertising banners and other content for dissemination and transmission to target computers 112, 116, 120. The content directed into and out of database 132 is controlled by rules in a rule book 136. embodiment, the rules are records in a database. The control and direction of information by the rule book 136 is based on user profiles 140, 142 and 144. Each user profile, 140, 142, 144 contains information on a corresponding target computer 112, 116, The initial information on a corresponding target computer 6 PAT. APPL. 42390.P4072

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may be obtained by an agent which is transmitted to the target computer 112.

In one embodiment, the agent consists of an object code for a control residing on a web page. The control is transmitted with the web page while a dormant object code resides on the server. The web page and the object code for the control are loaded into a cache on the target computer. The object code for the control may be interpreted or executed by the browser as the corresponding web page is loaded. The control object code is not changed unless the dormant object code in the server is changed. The described agent is a system used by Microsoft Corporation of Redmond, Washington in its Active X^{TM} control. In one embodiment, the user profile contains dynamic information on the corresponding target computer forwarded by the agent. The information may include Web sites visited, amount of time spent at a web site, software used, and hardware configuration of the target computer.

Figure 2 illustrates one embodiment of a rule page in the user profile. A rule page can be a file in a Relational Database Management System (RDBMS) or an Object Oriented Database Management System (OODBMS). These databases may contain many rule pages. The entire database will be accessed randomly. In one embodiment, the server caches each rule page on the basis of usage. Each rule page is identified or connected to a particular computer via a rule page identification "ID" number 204. The rule page itself 200 is divided up according to keys 208. In the embodiment shown, the keys 208 include a hardware profile 210, a software profile 212, a user profile 216 and other profile

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information 220. Each key 208 includes information pertaining to the specific target computer or target user associated with the rule page ID 204. For example, the hardware profile 210 may be divided into subkeys 222 which contain information such as the CPU used 224, the amount of RAM available 228 and the CPU clock speed 232. The software profile key 212 may include subkeys 222 containing information such as the most popular software packages used by the target computer in a "top 10 list" 230, and a memory usage subkey 234 including the memory usage disk space occupied by the software being used.

The user profile key 216 includes dynamic information relating to the end user using the target computer. From this information, a profile of the targeted user can be created. Typical information in such a profile may include information on length of time the user has been connected to the Internet 238 and the Internet Web sites frequented by the user 242. Miscellaneous information may be collected in an extra profile information key 220. Information indicating the amount of time spent by the end user on a particular window or screen at a particular Web site may also be collected by the agent and stored. For example, if a user spends more time on basketball articles or a screen depicting basketball related images, the rule book computer will focus transmitted information and advertisements to basketball related activity or create advertisements centered around a basketball theme.

Each subkey 222 may be further subdivided into smaller units of data which further profiles the targeted user. Using

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information stored in the user profile, the rule book generates rules specific to the targeted user. These rules may be extracted from the rule book and stored in a user specific rules section 254 of the rule page. These user specific rules enable the creation of a user specific profile for filtering and transmission of advertising banners and information.

Figure 3 is a flowchart illustrating a method of targeting advertisements to a particular user. In step 304, when a user connects to the website, the baseline profile is created. baseline profile may be created using information from an agent initially transmitted to the target computer upon connection. detection and maintenance of an already existing account happens The agent runs on the target computer CPU and on the server. determines critical information regarding the target computer to generate the baseline profile. Such a baseline profile may include static or rarely changing information such as information in the hardware profile key 208 and in the software profile key 212. Once a basic baseline profile has been established, the content provider transmits a standard advertising banner to the target computer. Alternatively, the content provider may generate a specific advertising banner for the user using information in the rule book. In the preferred embodiment, the content provider transmits an agent with the advertising banner to the target computer in step 308. The agent may be the same agent which established the initial baseline profile. In the preferred embodiment, this agent is a small program typically on the magnitude of 10 kilobytes, which may be specific to the

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advertising banner and is independent of the agent which established the baseline profile using CPU time on the target computer. The agent collects data from the target computer. In one embodiment, the agent utilizes MICROSOFT® ACTIVE X™ technology to collect information from target computer and transmit that collected information back to the content provider in step 312. A description of Active X technology is available at the Microsoft Web site at http://www.Microsoft.com/intdev/sdk/actlogo.htm.

In one embodiment of a target computer, a single target computer may communicate with several content providers. In such an embodiment, the target computer may maintain several provider rule pages. Each provider rule page includes information from a corresponding specific provider. Using a target computer rule book in the target computer, the target computer creates static and dynamic profiles of each provider very similar to the profiles maintained by the content providers of the target computers.

Although the described embodiment describes a small agent that runs on the target machine and is transmitted with the ad banner, it is contemplated that other types of agents may be used. General agents, agents which are not associated with a specific ad banner and are transmitted separately from the ad banner may be used. In the preferred embodiment, the agent is not specific to the browser being used by the target computer. Using an agent which is independent from the browser allows a single content provider to obtain information from multiple target computers, even if the multiple computers use different browsers.

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A triggering program determines if the data is important in step 316. In one embodiment, the triggering program may be part of the agent program, thus the agent filters information before transmission. In an alternate embodiment, the triggering program is run in the content provider. If the triggering program determines that the data collected by the agent is not important, the content provider returns to step 312 instructing the agent to collect further information.

When the triggering program determines that data is significant, the content provider verifies that an identification code "ID" has been created for the particular user and that a baseline profile has been established in step 320. The baseline profile includes various keys. The received information is matched to a corresponding key in step 320. If a particular key or baseline profile has not been established for the computer which transmitted the information, the appropriate key and/or baseline profile is created and the incoming information integrated into the database in step 324. In step 328, a daemon or munging agent assimilates the agent transmitted information into the user specific rule page. A daemon or munging agent is an application program running on the content provider which assimilates the incoming data by categorizing incoming data and updating the user rule page if the data has changed or inserting new data into appropriate areas of the user rule page. 332, the new user rule page is used to generate a modified profile. The modified profile runs through a set of rules in rule

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book identifying new content for transmission to the target computer.

The rules in the rule book form the basis for selecting content delivered to the target computer. The rules are typically stored in the rule book in the form of condition-action pairs. For example, a rule could be - if CPU is greater than or equal to PENTIUM®, then: deliver content from a first database where the first database contains high fidelity content. In one embodiment, rules can be cascaded to form new rules; for example - if CPU is greater than or equal to $Pentium^{\mathbb{R}}$, and modem is greater than or equal to 28.8 Kbps speed, then provide content from a second database wherein the second database includes graphic content which requires high processing and transmission rates. The rules or condition action pairs in the rule book may be in the form of Scripts or an interpreted language script such as VB Script which are capable of performing booleen operations such as comparing Thus the rules can data in a rule page with predetermined values. perform matching of graphic content with target computer capabilities as well as other characteristics of the target user.

User specific rules also allow for customizing ad banners to take into account dynamic information. For example, if a user spends more than 10 minutes at basketball Web sites, or clicks on many icons associated with basketball, a rule might be developed if basketball is greater than scale 10, then deliver content from a particular section of a particular database. This section of the database would have basketball related information. In one embodiment, the information from the database would be used to

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fill in voids in a generic ad banner. In an alternative embodiment, the user specific data could be used to create a completely customized ad banner.

A method is also provided to remove old information or to account for changing user interests. Thus, if the end user did not visit basketball Web sites for a significant period of time, the user specific rules could be configured to automatically reduce the scale corresponding to a users interest in basketball relative to a user's other interests.

In step 336, an updated custom ad banner including new content is transmitted to the user or target computer. The ad banner may be designed to best utilize the capability of the user's computer. The content is also specific to interest profiles of the user associated with an account on the ISP or the target computer. In some embodiments, a new accompanying agent may also be transmitted with the new ad banner.

While certain exemplary embodiments have been described and shown in the accompanying drawings, it is to be understood that such embodiments are merely illustrative of and not restrictive on the broad invention, and that this invention not be limited to the specific constructions and arrangements shown and described, since various other modifications may occur to those ordinarily skilled in the art.

What is claimed is:

- 1 1. An advertising system comprising:
- 2 a content provider which generates ad banners;
- 3 a target computer which receives the ad banners;
- an agent which is transmitted from the content provider to
- 5 the target computer, the agent obtains user information and
- 6 transmits the user information to the content provider; and
- a program running on the content provider, the program
- 8 organizes the user information and updates a user specific
- 9 database.
- 2. The advertising system of claim 1 wherein the agent is software executed by the target computer.
- 1 3. The advertising system of claim 1 further comprising a
- 2 baseline user profile which is updated by the program running on
- 3 the contact provider.
- 1 4. The advertising system of claim 3 wherein the baseline
- 2 user profile includes information on Web sites visited.
- 1 5. The advertising system of claim 1 wherein the agent
- 2 collects information using MICROSOFT ACTIVE X^{TM} . 42390.P4072

- The advertising system of claim 4 wherein the baseline 1
- user profile includes a subkey indicating time spent at each web 2
- site. 3
- 7. A method of communicating advertising information 1
- comprising the steps of: 2
- creating a user profile; 3
- transmitting an ad banner from a content provider to a target
- computer;
- collecting user information at the target computer;
- transmitting the user information from the target computer to
- the content provider;
- filtering the user information to create relevant data;
- arranging the relevant data to create a modified user
- specific database; and
- generating a second user ad banner corresponding to the 12
- modified user specific database. 13
 - The method of claim 7 further comprising the step of 1
 - transmitting an agent from the content provider to the target 2

computer. 3

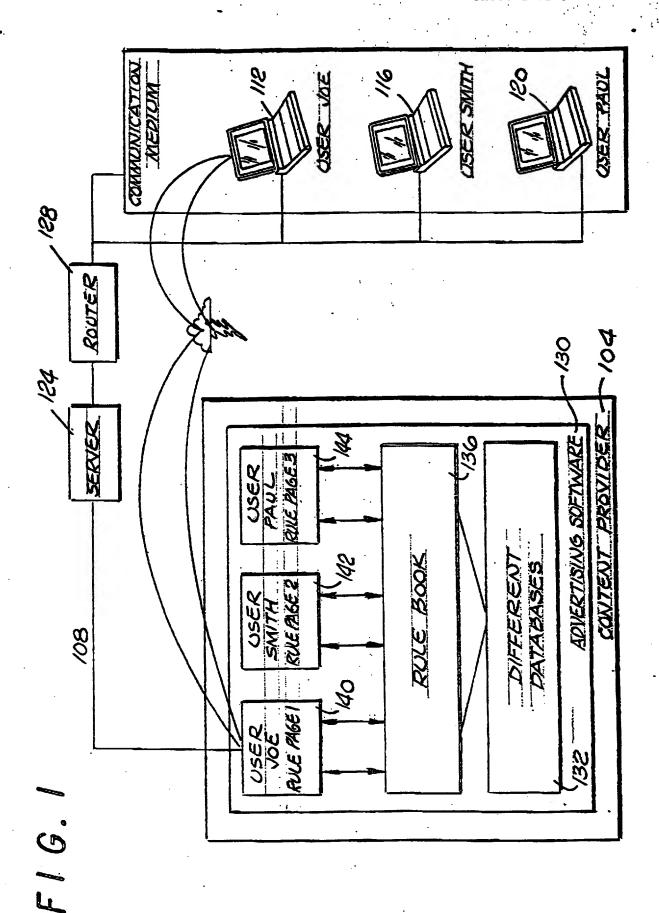
PAT. APPL.

- 1 9. The method of claim 7 wherein the collecting step is
- 2 executed by an agent running on the target computer.
- 1 10. The method of claim 8 wherein the agent is transmitted
- 2 with the ad banner.
 - 1 11. The method of claim 9 wherein the agent is independent
- 2 of a browser executing on the target computer.
- 1 12. The method of claim 7 wherein the ad banner is
- 2 transmitted in an Internet protocol format.
- 1 13. The method of claim 7 wherein the generating of the
- 2 second user ad banners involves applying rules which include
- 3 dynamic information profiling the target computer user.
- 1 14. A content provider for providing advertising content
- 2 over a network comprising:
- a plurality of user profiles, each user profile in the
- 4 plurality of user profiles including user data corresponding to a
- 5 target computer account;

- a munging agent which updates each user profiles based on data transmitted from an agent;
- a rule set associated with each user profile including rules
- 9 generated from the user data; and
- a rulebook which selects data to be transmitted from an
- 11 advertising content database using the rule set.
 - 1 15. An advertising system comprising:
 - a content provider which generates a means for advertising;
- a target computer which receives the means for advertising;
- 4 a means for obtaining user information and transmitting the
- 5 user information to the content provider, the means for obtaining
- 6 user information transmitted from the content provider to the
- 7 target computer; and
- a means for organizing the user information and upgrading a
- 9 user specific database.

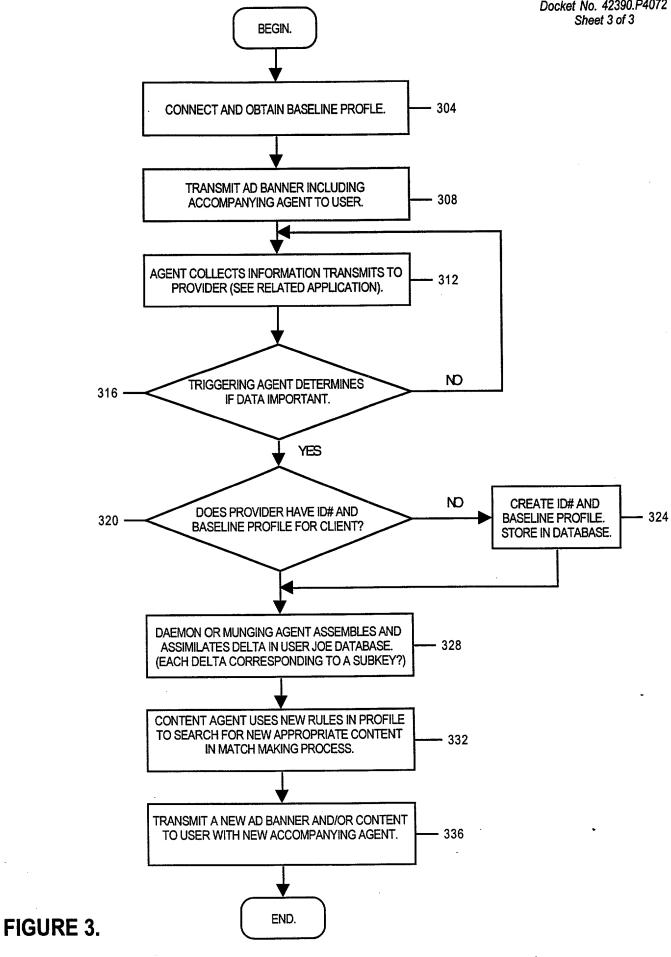
ABSTRACT

The invention relates to a method and apparatus for targeting advertising information transmitted over the Internet. The method involves creating a user profile and transmitting an agent and ad banner from a content provider to a target computer. At the target computer, information is collected and transmitted from the target computer to the content provider. The content provider filters the information to create relevant data. The content provider then munges the relevant data into a user specific database and selects new content for transmission over the Internet based on the new user specific database.



SOFTWARE PROFILE USER PROFILE INFORMATION 212 215 216 AEMORY HOW LONG WEB SITES OTHER PROFILE
HOW LONG WEB SITES
HOW LONG WEB SITES
234 238 242 246

FIGURE 2



Our Docket No.: 42390.P4072

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

the specification of which

My residence, post office address and citizenship are as stated below, next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

USER DEMOGRAPHIC PROFILE DRIVEN ADVERTISING TARGETING

X	is attached hereto.		
	was filed on		as
	Application No.		
	and was amended on		
	_	(if applicable)	

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			PRIORITY CLAIMED	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing

date of this application:

APPLICATION NO.	FILING DATE	STATUS (PATENTED, PENDING, ABANDONED)

I hereby appoint BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, a firm including: Aloysius T. C. AuYeung, Reg. No. 35,432; William Thomas Babbitt, Reg. No. 39,591; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Lawrence M. Cho, Reg. No. 39,942; Thomas M. Coester, Reg. No. 39,637; Roland B. Cortes, Reg. No. 39,152; William Donald Davis, Reg. No. 38,428; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Rober A. Diehl, Reg. No. P40,992; Tarek Fahmi, Reg. No. P41,402; Karen L. Feisthamel, Reg. No. 40,264; James Y. Go, Reg. No. P40,621; Tarek N. Fahmi, Reg. No. P41,402; David R. Halvorson, Reg. No. 33,395; Eric Ho, Reg. No. 39,711; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; Stephen L. King, Reg. No. 19,180; Dolly M. Lee, Reg. No. 39,742; Michael J. Mallie, Reg. No. 36,591; Kimberley G. Nobles, Reg. No. 38,255; Ronald W. Reagin, Reg. No. 20,340; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Charles E. Shemwell, Reg. No. 40,171; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Allan T. Sponseller, Reg. No. 38,318; Steven R. Sponseller, Reg. No. 39,384; Edwin H. Taylor, Reg. No. 25,129; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys; and Robert Andrew Diehl, Reg. No. P40,992; Sharmini Nathan Green, Reg. No. P-41,410; Thomas A. Hassing, Reg. No. 36,159; Edwin A. Sloane, Reg. No. 34,728; and Judith A. Szepesi, Reg. No. 39,393; my patent agents, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (714) 557-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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